

BIRMINGHAM

T. Wesley Brinkley

SHAREHOLDER



Wes's Profile

Wes is a Shareholder and serves as Chair of Maynard Cooper & Gale's Fund Formation & Investment Management practice group. He is also a member of the Firm's Mergers & Acquisitions and Private Equity practices.

A graduate of Harvard Law School and the University of North Carolina at Chapel Hill, where he received his degree in Business and in Political Science with honors and highest distinction, Wes has established an active and diverse practice representing private investment fund sponsors and other investment managers in all aspects of their businesses, including:

- Forming real estate funds, hedge funds, funds-of-funds, feeder funds, private equity funds, collective investment funds, and other similar investment vehicles
- Providing ongoing registration, compliance, and reporting advice to several of the region's largest asset managers and investment consultants
- Conducting mock SEC audits
- Negotiating separate account agreements
- Assisting clients in their interactions with the Securities and Exchange Commission, the Alabama Securities Commission, and other regulators
- Providing advice to asset managers and other investors regarding securities issues, including Schedule 13D/G, HSR, and insider trading

Wes also has significant experience representing private companies that are raising capital through private placement transactions.

He is an active member of several professional and industry-related associations, including serving as Maynard Cooper's representative in the Investment Adviser Association, an organization devoted exclusively to representing the interests of SEC-registered investment advisory firms before Congress, the SEC, CFTC, and other regulators. Wes was a

Contact

T 205.254.1845

F 205.254.1999

wbrinkley@maynardcooper.com

LEGAL ASSISTANT

Wendy Jacks

wjacks@maynardcooper.com

205.488.3571

RELATED INDUSTRIES/SERVICES

[Fund Formation and
Investment Management
General Corporate
Mergers & Acquisitions
Private Equity & Venture
Capital
Real Estate
Investment Adviser
Compliance
Opportunity Zones](#)

presenter at the Investment Adviser Association's 2015 Annual Compliance Fall Workshop in Atlanta, Georgia at which he spoke about several significant issues confronting advisers, including performance marketing, the use of social media, and cybersecurity. He is involved in the Angel Investment Management (AIM) group, as well as the American Bar Association, the Alabama and Georgia State Bar Associations, and the Birmingham Bar Association.

Prior to joining Maynard Cooper, Wes practiced law in the Atlanta and New York offices of King & Spalding.

Wes's Experience

- Represented buyer of Oklahoma oil and gas assets from bankruptcy estate of New Source Energy Partners
- Formation of Fenwick Brands Fund I, a private equity fund to invest in consumer packaged goods
- Represented buyer of Sugar Grove Station, a former Navy Information Operations Command base in West Virginia
- Formation of a number of investment funds structured as Delaware Series LLC
- Assisted SEC-registered investment adviser with management of response to SEC examination
- Formation of global macro hedge fund
- Formation of collective investment trust for ERISA clients of pension consultant
- Formation of multiple Delaware and British Virgin Islands funds-of-funds (approximately \$250 million) on behalf of asset manager
- Formation of series of investment trusts (approximately \$75 million) for hospital association client
- Formation of timber investment fund for Fortune 500 company
- Representation of \$2.8 billion state oil and gas royalty fund in numerous investment management matters
- Formation of angel private equity fund
- Sale of portfolio of private fund investments (capital commitment of \$250 million) on secondary market

Wes's Awards

- The Best Lawyers in America® for Closely Held Companies and Family Businesses Law, Corporate Law (2021-present)

Wes's Affiliations and Civic Involvement

Affiliations

- Investment Adviser Association
- American Bar Association
- State Bar of Alabama
- State Bar of Georgia
- Birmingham Bar Association

Civic Involvement

- UAB Comprehensive Diabetes Center, Advisory Board
- Alabama Chapter of the Juvenile Diabetes Research Foundation, Junior Board of Directors (2010-2012)
- Birmingham Chapter of the American Cancer Society, Junior Executive Board (2009-2011)
- American Cancer Society Tee Up for Life, Golf Committee (2012)
- Leadership UAB Class of 2012

Bar Admissions

State Bar: Alabama, Georgia

Education

- Harvard Law School
 - (2005, J.D.)
- University of North Carolina at Chapel Hill
 - (2002, B.A, honors; highest distinction; Morehead Scholar; Phi Beta Kappa)